

**EXTRACT OF ANNUAL RETURN**  
As on financial year ended on 31.03.2020

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

| I. REGISTRATION & OTHER DETAILS: |  |  |
|----------------------------------|--|--|
| 1                                | CIN  | U65991TN1990PLC019488  |
| 2                                | Registration Date  | 06-08-90   |
| 3                                | Name of the Company  | SEMPON PERMANENT NIDHI LIMITED (Formerly Known as M/s.Sempon Permanent Fund Limited) |
| 4                                | Category/Sub-category of the Company                                       | PUBLIC COMPANY/LIMITED BY SHARES   |
| 5                                | Address of the Registered office & contact details                         | No.111, MADHAVARAM HIGH ROAD, PERAMBUR, CHENNAI-600 011, Ph: 044-25377520, 25370223  |
| 6                                | Whether listed company   | No   |
| 7                                | Name, Address & contact details of the Registrar & Transfer Agent, if any. | N.A  |

| II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY   |   |                                 |                                    |
|--|---|---------------------------------|------------------------------------|
| (All the business activities contributing 10 % or more of the total turnover of the company shall be stated) |   |                                 |                                    |
| S. No.   | Name and Description of main products / services      | NIC Code of the Product/service | % to total turnover of the company |
| 1  | Accepting Deposits                                    | 99711210                        | 100%                               |
| 2  | Loan Lending on Property, Jewels, & Loans on Deposits | 99711352                        | 100%                               |
| 3  |   |                                 |                                    |

| III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES |                                 |         |                                |                  |                    |
|---|---------------------------------|---------|--------------------------------|------------------|--------------------|
| SN  | Name and address of the Company | CIN/GLN | Holding/ Subsidiary/ Associate | % of shares held | Applicable Section |
| 1   |                                 |         |                                |                  |                    |
| 2   |                                 | N.A.    |                                |                  |                    |
| 3   |                                 |         |                                |                  |                    |

| IV. SHARE HOLDING PATTERN                                    |  |          |         |                   |  |          |         |                   |                          |
|--|--|----------|---------|-------------------|--|----------|---------|-------------------|--------------------------|
| (Equity share capital breakup as percentage of total equity) |  |          |         |                   |  |          |         |                   |                          |
| (i) Category-wise Share Holding                              |  |          |         |                   |  |          |         |                   |                          |
| Category of Shareholders                                     | No. of Shares held at the beginning of the year<br>[As on 31-March-2019] |          |         |                   | No. of Shares held at the end of the year<br>[As on 31-March-2020] |          |         |                   | % Change during the year |
|  | Demat  | Physical | Total   | % of Total Shares | Demat  | Physical | Total   | % of Total Shares |                          |
| <b>A. Promoters</b>  |  |          |         |                   |  |          |         |                   |                          |
| <b>(1) Indian</b>  |  |          |         |                   |  |          |         |                   |                          |
| a) Individual/ HUF   | -  | 346,000  | 346,000 | 16.90%            | -  | 346,000  | 346,000 | 16.65%            | 0.00%                    |
| b) Central Govt  |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| c) State Govt(s)   |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| d) Bodies Corp.  |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| e) Banks / FI  |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| f) Any other   |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| <b>Sub Total (A) (1)</b>                                     | -  | 346,000  | 346,000 | 16.90%            | -  | 346,000  | 346,000 | 16.65%            | 0.00%                    |
| <b>(2) Foreign</b>   |  |          |         |                   |  |          |         |                   |                          |
| a) NRI Individuals   |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| b) Other Individuals   |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| c) Bodies Corp.  |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| d) Any other   |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| <b>Sub Total (A) (2)</b>                                     | -  | -        | -       | 0.00%             | -  | -        | -       | 0.00%             | 0.00%                    |
| <b>TOTAL (A)</b>   | -  | 346,000  | 346,000 | 16.90%            | -  | 346,000  | 346,000 | 16.65%            | 0.00%                    |
| <b>B. Public Shareholding</b>                                |  |          |         |                   |  |          |         |                   |                          |
| <b>1. Institutions</b>                                       |  |          |         |                   |  |          |         |                   |                          |
| a) Mutual Funds  |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| b) Banks / FI  |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| c) Central Govt  |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| d) State Govt(s)   |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |
| e) Venture Capital Funds                                     |  |          | -       | 0.00%             |  |          | -       | 0.00%             | 0.00%                    |

|  |   |           |           |         |   |           |           |         |       |
|--|---|-----------|-----------|---------|---|-----------|-----------|---------|-------|
| f) Insurance Companies   |   |           | -         | 0.00%   |   |           | -         | 0.00%   | 0.00% |
| g) FIs   |   |           | -         | 0.00%   |   |           | -         | 0.00%   | 0.00% |
| h) Foreign Venture Capital Funds   |   |           | -         | 0.00%   |   |           | -         | 0.00%   | 0.00% |
| i) Others (specify)  |   |           | -         | 0.00%   |   |           | -         | 0.00%   | 0.00% |
| <b>Sub-total (B)(1):-</b>  | - | -         | -         | 0.00%   | - | -         | -         | 0.00%   | 0.00% |
| <b>2. Non-Institutions</b>   |   |           |           |         |   |           |           |         |       |
| <b>a) Bodies Corp.</b>   |   |           |           |         |   |           |           |         |       |
| i) Indian  |   |           | -         | 0.00%   |   |           | -         | 0.00%   | 0.00% |
| ii) Overseas   |   |           | -         | 0.00%   |   |           | -         | 0.00%   | 0.00% |
| <b>b) Individuals</b>  |   |           |           |         |   |           |           |         |       |
| i) Individual shareholders holding nominal share capital upto Rs. 1 lakh         | - | 1311828   | 1,311,828 | 64.06%  | 0 | 1341528   | 1,341,528 | 64.57%  | 2.26% |
| ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh | - | 390,000   | 390,000   | Q       |   | 390000    | 390,000   | 18.77%  | 0.00% |
| <b>c) Others (specify)</b>   |   |           |           |         |   |           |           |         |       |
| Non Resident Indians   |   |           | -         | 0.00%   |   |           | -         | 0.00%   | 0.00% |
| Overseas Corporate Bodies  |   |           | -         | 0.00%   |   |           | -         | 0.00%   | 0.00% |
| Foreign Nationals  |   |           | -         | 0.00%   |   |           | -         | 0.00%   | 0.00% |
| Clearing Members   |   |           | -         | 0.00%   |   |           | -         | 0.00%   | 0.00% |
| Trusts   |   |           | -         | 0.00%   |   |           | -         | 0.00%   | 0.00% |
| Foreign Bodies - D R   |   |           | -         | 0.00%   |   |           | -         | 0.00%   | 0.00% |
| <b>Sub-total (B)(2):-</b>  | - | 1,701,828 | 1,701,828 | 83.10%  | - | 1,731,528 | 1,731,528 | 83.35%  | 1.75% |
| <b>Total Public (B)</b>  | - | 1,701,828 | 1,701,828 | 83.10%  | - | 1,731,528 | 1,731,528 | 83.35%  | 1.75% |
| <b>C. Shares held by Custodian for GDRs &amp; ADRs</b>                           |   |           |           |         |   |           |           |         |       |
|  |   |           | -         | 0.00%   |   |           |           | 0.00%   | 0.00% |
| <b>Grand Total (A+B+C)</b>   | - | 2,047,828 | 2,047,828 | 100.00% | - | 2,077,528 | 2,077,528 | 100.00% | 1.75% |

(ii) Shareholding of Promoter

| SN | Shareholder's Name | Shareholding at the beginning of the year |                                  |   | Shareholding at the end of the year |                                  |  | % change in shareholding during the year |
|----|--------------------|---|----------------------------------|---|-------------------------------------|----------------------------------|--|--|
|    |                    | No. of Shares                             | % of total Shares of the company | % of Shares Pledged/ encumbered to total shares | No. of Shares                       | % of total Shares of the company | % of Shares Pledged / encumbered to total shares |  |
| 1  | T.Velayutham       | 150,000                                   | 7.32%                            |   | 150,000                             | 7.22%                            |  | 0.00%                                    |
| 2  | S. Tamilarasi      | 90,000                                    | 4.39%                            |   | 90,000                              | 4.33%                            |  | 0.00%                                    |
| 3  | P.Kannaiyan        | 60,000                                    | 2.93%                            |   | 60,000                              | 2.89%                            |  | 0.00%                                    |
| 4  | M.Siddarth         | 45,000                                    | 2.20%                            |   | 45,000                              | 2.17%                            |  | 0.00%                                    |
| 5  | H. Lalithambal     | 1,000                                     | 0.05%                            |   | 1,000                               | 0.05%                            |  | 0.00%                                    |

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

| SN | Particulars                  | Date | Reason | Shareholding at the beginning of the year |                   | Cumulative Shareholding during the year |                   |
|----|------------------------------|------|--------|---|-------------------|---|-------------------|
|    |                              |      |        | No. of shares                             | % of total shares | No. of shares                           | % of total shares |
|    | At the beginning of the year |      |        | 346,000                                   | 16.90%            | 346,000                                 | 16.65%            |
|    | Changes during the year      |      |        |   | 0.00%             |   | 0.00%             |
|    |                              |      |        | <b>NO CHANGE</b>                          | 0.00%             |   | 0.00%             |
|    |                              |      |        |   | 0.00%             |   | 0.00%             |
|    | At the end of the year       |      |        | 346,000                                   | 16.90%            | 346,000                                 | 16.65%            |

Note : Total shares increased to 20,77,528 from 20,47,828

**(iv) Shareholding Pattern of top ten Shareholders***(Other than Directors, Promoters and Holders of GDRs and ADRs):*

| SN | For each of the Top 10 shareholders | Date | Reason | Shareholding at the beginning of the year |                   | Cumulative Shareholding during the year |                   |
|----|-------------------------------------|------|--------|---|-------------------|---|-------------------|
|    |                                     |      |        | No. of shares                             | % of total shares | No. of shares                           | % of total shares |
| 1  | Name: Shanthi Manohar               |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 240,000                                   | 11.72%            | 240,000                                 | 11.55%            |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 240,000                                   | 11.72%            | 240,000                                 | 11.55%            |
| 2  | Name: V.Lalitha                     |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 60,000                                    | 2.93%             | 60,000                                  | 2.89%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 60,000                                    | 2.93%             | 60,000                                  | 2.89%             |
| 3  | Name S.Muthiah                      |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 60,000                                    | 2.93%             | 60,000                                  | 2.89%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 60,000                                    | 2.93%             | 60,000                                  | 2.89%             |
| 4  | Name: H.Durga                       |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 50,000                                    | 2.44%             | 50,000                                  | 2.41%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 50,000                                    | 2.44%             | 50,000                                  | 2.41%             |
| 5  | Name: M.Prasanth                    |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 45,000                                    | 2.20%             | 45,000                                  | 2.17%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 45,000                                    | 2.20%             | 45,000                                  | 2.17%             |
| 6  | Name: G.Geetha                      |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 40,000                                    | 1.95%             | 40,000                                  | 1.93%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 40,000                                    | 1.95%             | 40,000                                  | 1.93%             |
| 7  | Name: R.Shanthi                     |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 40,000                                    | 1.95%             | 40,000                                  | 1.93%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 40,000                                    | 1.95%             | 40,000                                  | 1.93%             |
| 8  | Name: S.Hemanathan                  |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 30,000                                    | 1.46%             | 30,000                                  | 1.44%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 30,000                                    | 1.46%             | 30,000                                  | 1.44%             |
| 9  | Name: S.Kavitha                     |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 30,000                                    | 1.46%             | 30,000                                  | 1.44%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 30,000                                    | 1.46%             | 30,000                                  | 1.44%             |
| 10 | Name: S.Krishnamurthy               |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 30,000                                    | 1.46%             | 30,000                                  | 1.44%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 30,000                                    | 1.46%             | 30,000                                  | 1.44%             |

**(v) Shareholding of Directors and Key Managerial Personnel:**

| SN | Shareholding of each Directors and each Key Managerial Personnel | Date | Reason | Shareholding at the beginning of the year |                   | Cumulative Shareholding during the year |                   |
|----|--|------|--------|---|-------------------|---|-------------------|
|    |  |      |        | No. of shares                             | % of total shares | No. of shares                           | % of total shares |
| 1  | T. VELAYUTHAM  |      |        |   |                   |   |                   |
|    | At the beginning of the year                                     |      |        | 150,000                                   | 7.32%             | 150,000                                 | 7.22%             |
|    | Changes during the year  |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year   |      |        | 150,000                                   | 7.32%             | 150,000                                 | 7.22%             |
| 2  | S. TAMILARASI  |      |        |   |                   |   |                   |
|    | At the beginning of the year                                     |      |        | 90,000                                    | 4.39%             | 90,000                                  | 4.33%             |
|    | Changes during the year  |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year   |      |        | 90,000                                    | 4.39%             | 90,000                                  | 4.33%             |

|   |                              |  |  |        |       |        |       |
|---|------------------------------|--|--|--------|-------|--------|-------|
| 3 | P. KANNAIYAN                 |  |  |        |       |        |       |
|   | At the beginning of the year |  |  | 60,000 | 2.93% | 60,000 | 2.89% |
|   | Changes during the year      |  |  | -      | 0.00% | -      | 0.00% |
|   | At the end of the year       |  |  | 60,000 | 2.93% | 60,000 | 2.89% |
| 4 | M. SIDDARTH                  |  |  |        |       |        |       |
|   | At the beginning of the year |  |  | 45,000 | 2.20% | 45,000 | 2.17% |
|   | Changes during the year      |  |  | -      | 0.00% | -      | 0.00% |
|   | At the end of the year       |  |  | 45,000 | 2.20% | 45,000 | 2.17% |

|   |                              |  |  |       |       |       |       |
|---|------------------------------|--|--|-------|-------|-------|-------|
| 5 | H. LALITHAMBAL               |  |  |       |       |       |       |
|   | At the beginning of the year |  |  | 1,000 | 0.05% | 1,000 | 0.05% |
|   | Changes during the year      |  |  | -     | 0.00% | -     | 0.00% |
|   | At the end of the year       |  |  | 1,000 | 0.05% | 1,000 | 0.05% |

#### V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs./Lacs)

| Particulars  | Secured Loans excluding deposits | Unsecured Loans       | Deposits | Total Indebtedness    |
|--|----------------------------------|-----------------------|----------|-----------------------|
| <b>Indebtedness at the beginning of the financial year</b> |                                  |                       |          |                       |
| i) Principal Amount  | -                                | 495,704,059.54        | -        | 495,704,059.54        |
| ii) Interest due but not paid                              | -                                | 1,978,983.00          | -        | 1,978,983.00          |
| iii) Interest accrued but not due                          | -                                | 34,161,540.73         | -        | 34,161,540.73         |
| <b>Total (i+ii+iii)</b>                                    | -                                | <b>531,844,583.27</b> | -        | <b>531,844,583.27</b> |
| <b>Change in Indebtedness during the financial year</b>    |                                  |                       |          |                       |
| * Addition   | -                                | 23,435,695.65         | -        | 23,435,695.65         |
| * Reduction  | -                                | -                     | -        | -                     |
| <b>Net Change</b>  | -                                | <b>23,435,695.65</b>  | -        | <b>23,435,695.65</b>  |
| <b>Indebtedness at the end of the financial year</b>       |                                  |                       |          |                       |
| i) Principal Amount  | -                                | 519,139,755.19        | -        | 519,139,755.19        |
| ii) Interest due but not paid                              | -                                | 1,902,299.00          | -        | 1,902,299.00          |
| iii) Interest accrued but not due                          | -                                | 33,582,134.08         | -        | 33,582,134.08         |
| <b>Total (i+ii+iii)</b>                                    | -                                | <b>554,624,188.27</b> | -        | <b>554,624,188.27</b> |

#### VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

| SN. | Particulars of Remuneration   | Name of MD/WTD/ Manager | Total Amount (Rs/Lac) |
|-----|---|-------------------------|-----------------------|
| 1   | Gross salary  |                         |                       |
|     | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 |                         | -                     |
|     | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961                             | N.A.                    | -                     |
|     | (c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961             |                         | -                     |
| 2   | Stock Option  |                         | -                     |
| 3   | Sweat Equity  |                         | -                     |
| 4   | Commission  |                         | -                     |
|     | - as % of profit  |                         | -                     |
|     | - others, specify   |                         | -                     |
| 5   | Others, please specify  |                         | -                     |
|     | Total (A)   | -                       | -                     |
|     | Ceiling as per the Act  |                         | -                     |

B. Remuneration to other Directors

| SN. | Particulars of Remuneration                | Name of Directors |                   |                  | Total Amount (Rs/Lac) |
|-----|--|-------------------|-------------------|------------------|-----------------------|
| 1   | Independent Directors                      | T.Velayutham      | P.Kanniyan        | S.Senthilkumar   |                       |
|     | Fee for attending board committee meetings | 326,400.00        | 300,000.00        | -                | 626,400.00            |
|     | Commission                                 |                   |                   |                  | -                     |
|     | Others-Directors 5% on the Net Profit      | 124,078.00        | 124,078.00        | 62,039.00        | 310,195.00            |
|     | <b>Total (1)</b>                           | <b>450,478.00</b> | <b>424,078.00</b> | <b>62,039.00</b> | <b>936,595.00</b>     |

|   |  |            |            |           |            |
|---|--|------------|------------|-----------|------------|
| 2 | Other Non-Executive Directors              |            |            |           | -          |
|   | Fee for attending board committee meetings |            |            |           | -          |
|   | Commission                                 |            |            |           | -          |
|   | Others, please specify                     |            |            |           | -          |
|   | Total (2)                                  | -          | -          | -         | -          |
|   | Total (B)=(1+2)                            | 450,478.00 | 424,078.00 | 62,039.00 | 936,595.00 |
|   | Total Managerial Remuneration              |            |            |           | 936,595.00 |
|   | Overall Ceiling as per the Act             |            |            |           |            |

B. Remuneration to other Directors

| SN. | Particulars of Remuneration                | Name of Directors |              |                | Total Amount<br>(Rs/Lac) |
|-----|--|-------------------|--------------|----------------|--------------------------|
|     |  | M.Siddarth        | S.Tamilarasi | H. Lalithambal |                          |
| 1   | Independent Directors                      |                   |              |                |                          |
|     | Fee for attending board committee meetings | 300,000.00        | 300,000.00   | 326,400.00     | 926,400.00               |
|     | Commission                                 |                   |              |                | -                        |
|     | Others-Directors 5% on the Net Profit      | 124,078.00        | 124,078.00   | 62,039.00      | 310,195.00               |
|     | Total (1)                                  | 424,078.00        | 424,078.00   | 388,439.00     | 1,236,595.00             |
| 2   | Other Non-Executive Directors              |                   |              |                | -                        |
|     | Fee for attending board committee meetings |                   |              |                | -                        |
|     | Commission                                 |                   |              |                | -                        |
|     | Others, please specify                     |                   |              |                | -                        |
|     | Total (2)                                  | -                 | -            | -              | -                        |
|     | Total (B)=(1+2)                            | 424,078.00        | 424,078.00   | 388,439.00     | 1,236,595.00             |
|     | Total Managerial Remuneration              |                   |              |                | 2,173,190.00             |
|     | Overall Ceiling as per the Act             |                   |              |                |                          |

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

| SN. | Particulars of Remuneration   | Name of Key Managerial Personnel |     |     | Total Amount<br>(Rs/Lac) |
|-----|---|----------------------------------|-----|-----|--------------------------|
|     |   | Name                             |     |     |                          |
|     |   | Designation                      | CEO | CFO | CS                       |
| 1   | Gross salary  |                                  |     |     |                          |
|     | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 |                                  |     |     | -                        |
|     | (b) Value of perquisites u/s 17(2) Income-tax Act,                                  |                                  |     |     | -                        |
|     | (c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961             |                                  |     |     | -                        |
| 2   | Stock Option  |                                  |     |     | -                        |
| 3   | Sweat Equity  |                                  |     |     | -                        |
| 4   | Commission  |                                  |     |     |                          |
|     | - as % of profit  |                                  |     |     | -                        |
|     | - others, specify   |                                  |     |     | -                        |
| 5   | Others, please specify  |                                  |     |     | -                        |
|     | Total   |                                  | -   | -   | -                        |

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

NIL

| Type                                | Section of the Companies Act | Brief Description | Details of Penalty / Punishment/ Compounding fees imposed | Authority [RD / NCLT/ COURT] | Appeal made, if any (give Details) |
|-------------------------------------|------------------------------|-------------------|---|------------------------------|------------------------------------|
| <b>A. COMPANY</b>                   |                              |                   |   |                              |                                    |
| Penalty                             |                              |                   |   |                              |                                    |
| Punishment                          |                              |                   |   |                              |                                    |
| Compounding                         |                              |                   |   |                              |                                    |
| <b>B. DIRECTORS</b>                 |                              |                   |   |                              |                                    |
| Penalty                             |                              |                   |   |                              |                                    |
| Punishment                          |                              |                   |   |                              |                                    |
| Compounding                         |                              |                   |   |                              |                                    |
| <b>C. OTHER OFFICERS IN DEFAULT</b> |                              |                   |   |                              |                                    |
| Penalty                             |                              |                   |   |                              |                                    |
| Punishment                          |                              |                   |   |                              |                                    |
| Compounding                         |                              |                   |   |                              |                                    |